European integration and global governance

PhD class – 4 credits

Fall Term 2016 (AY 2016/2017)
Course overview

This course focuses on two closely related areas of research which are importance to students of contemporary public policy: European integration and global governance. There hardly any field of public policy which is not closely inter-linked with policy-making processes at the European Union (EU) or global level. Moreover, the EU itself is an actor in global governance and this role feeds back into domestic policy-making. This course introduces students to the literatures on EU integration and global governance. It familiarises them with different conceptual traditions and key issues in contemporary research. The course consists of two parts which are taught simultaneously but follow different formats.

Part 1 is delivered by Diane Stone. It focuses, on the one hand, upon processes of European integration in the global political economy looking at the manner in which the EU is a global actor that participates in international forums and engages with other regional associations or transnational actors. On the other hand, Part 1 also addresses contemporary aspects of regional integration and current developments of European dis/integration and so-called ‘de-Europeanisation’, including the impacts on other parts of the world. Notwithstanding some carefully designed overlaps, in the main, Part 1 with Diane Stone concentrates on the international environment of EU activity.

Part 2 is delivered by Uwe Puetter. It concentrates on internal dimension of EU policy-making, notably on what integration is and how it proceeds as well as how decisions are made in a multi-level policy-making environment which involves dispersed political authority and decentralised policy-making resources. Particular attention is paid to how different conceptual perspectives, commonly referred to as European integration theories, have informed commonly used research designs and study perspectives.

Methodologically, Part 1 and Part 2 follow complementary but different formats. Part 1 exposes students to issues, policy problems as well as reform and modernization efforts by introducing them to relevant literature. It encourages students to translate their analytical knowledge into policy relevant information and requires them to produce a policy brief. Part 2 introduces students to the question of how leading research in the sub-field of EU studies has been developed, structured and implemented. So-called study reviews critically examine scholarly contributions. This way students can explore options for designing their own research.

<table>
<thead>
<tr>
<th>Part 1 – Diane Stone</th>
<th>Part 2 – Uwe Puetter</th>
</tr>
</thead>
<tbody>
<tr>
<td>time and venue</td>
<td>on Mondays, 15:30-17:10 hrs, N13/309</td>
</tr>
<tr>
<td>topics</td>
<td>EU integration in the global political economy/ EU as a global actor/ current developments of dis/integration</td>
</tr>
<tr>
<td>format</td>
<td>introduction to issues, policy problems, reforms efforts</td>
</tr>
<tr>
<td></td>
<td>introduction to core and further literature, policy relevant aspects</td>
</tr>
<tr>
<td>requirements</td>
<td>presentation, policy brief</td>
</tr>
<tr>
<td>final paper</td>
<td></td>
</tr>
</tbody>
</table>
### Course objectives

This class aims at preparing students for independent and advanced-level research in the field of European integration studies and global governance. It targets students who already have a good knowledge of EU policy-making both at an empirical and theoretical level. The course provides access to core debates in European integration studies and global governance by critically reviewing existing research in the light of new empirical findings. The course pays particular attention to the challenges of combining the theoretical frameworks and methodological tools of different disciplines in EU studies. The class aims at helping students to identify key issues and policy problems and to advance their own conceptual and empirical research frameworks as well as to situate themselves and their respective research projects in the wider disciplines of European integration studies and the global governance literature.

The course develops core analytical and theoretical skills and specifically aims at preparing students for a career in academia and/or in leadership positions in policy-making related to European affairs. The course is based on an interactive teaching methodology targeted at a small group seminar. Students obtain responsibility for acting as lead speakers on specific topics and practise peer review. To this end the course applies a number of formats which are characteristic of the future academic and/or professional environment of students such as panel discussion, presenter/discussant model, short lecture format, policy briefings and round table discussions.

### Learning outcomes

At the outcome of this course students will have the ability to critically apply core theories and research perspectives in European integration theory and the global governance literature to different empirical contexts in an innovative manner, thus contributing both to empirical and theoretical innovation. They will have developed an understanding of core conceptual, methodological as well as empirical challenges with regard to researching a range of different issues in contemporary EU governance and policy. Moreover, students will be able to apply a number of core academic practices and will have developed oral and written presentation skills which are required for communicating the results of complex and advanced-level studies to different audiences (academic, professional expert setting, interested public).

This course will contribute to the development of a new generation of researchers with a strong analytical potential, thus helping innovation in the field of European integration studies both in research and teaching. Those students aiming for a career in EU policy practice will be able to both produce and make use of complex theoretical and empirical studies in applied and practical contexts.
Requirements and assessment

Class participation is mandatory for this class. Students missing more than two sessions per class might not receive a passing grade for this course. The successful completion of the course requires active participation in the class sessions. As this is a research class the emphasis is on interactive class discussions, the review of research approaches, the preparation of larger texts and regularly scheduled student presentations.

The final grade is composed as follows:

<table>
<thead>
<tr>
<th>Component</th>
<th>Weight</th>
</tr>
</thead>
<tbody>
<tr>
<td>general participation</td>
<td>10%</td>
</tr>
<tr>
<td>presentation (Part 1)</td>
<td>5%</td>
</tr>
<tr>
<td>policy brief exercise (Part 1)</td>
<td>15%</td>
</tr>
<tr>
<td>1-2 lead presentations (Part 2)</td>
<td>10%</td>
</tr>
<tr>
<td>3-4 discussant presentations (Part 2)</td>
<td>10%</td>
</tr>
<tr>
<td>final essay</td>
<td>50%</td>
</tr>
</tbody>
</table>

Students will need to complete either a research essay or a book review paper (3,000 word maximum including references and footnotes) at the end of this class. The review paper engages with a particular study and critically discusses it. Papers can be related to the topic of either the in-class presentation in Part 1 or one of the lead presentations given in Part 2 but do not have to.

Please agree your final paper topic and format with the course directors until November 16, 2016.

The final paper needs to be submitted by e-mail to both course directors by January 4, 2017 (by the end of the day CET).

The deadline for the Policy Brief is November 8, 2016 (by the end of the day CET).

Please note that paper deadlines are final and failure to submit in time will automatically result in a reduced or failing grade.
Diane Stone

Part 1: Europe in Global Governance and EU External Affairs

Week 1: Global Governance: Definitions, Discourses and Developments
Week 2: Regionalisation, Regional Integration & European Studies
Week 3: Global Public Goods and European Commitments
Week 4: Global Economic Governance
Week 5: Global Institutions & Experimentalist Governance: The EU as a Model?
Week 6: Global Networks & European Transnational Actors
Week 7: Monday October 31st CEU closed / Policy Brief Exercise
Week 8: De/Europeanisation and Policy Deviation/Innovation
Week 9: European Exits and their International Impacts
Week 10: The EU in a World of Regions: Normative Power Europe
Week 11: European External Action Service
Week 12: European Science and Cultural Diplomacy
Topic 1. Global Governance: Definitions, Discourses and Developments

- Globalisation: definitions, sources and limits
- Global governance
- Global and European public sphere(s)?

Required Reading:

Further Reading:
Topic 2: Regionalisation, Regional Integration & European Studies

- Regions, regionalism and regionalisation
- Regionalisation versus globalisation
- EU Studies as profession and practice

Required Reading:

Further Reading:


- The global commons
- From the MDGs to the SDGs
- European Development Agendas
**Required Reading:**


**Further Reading:**


Soderbaum, F. and Stalgren, P. Eds (2009) *The European Union and the Global South* (Lyne Rienner)


**Related Websites:**
- [http://www.eadi.org/about/](http://www.eadi.org/about/)

**Topic 4. Topic: Global Economic Governance**
- G20 / BRICS
- TTIP and TPP
- WTO, economic integration, trade and global value chains

**Required Reading:**


Further Reading:

Topic 5. Global Institutions, International Partnership(s) & Experimentalist Governance:
• Global public-private partnerships: The EU as a Model?
• The World Bank and Global Programme (DGF and IEG)
• Soft law / private regulation / international standards
Required Reading:

Further Reading:

**Topic 6. Global Networks & European Transnational Actors**
- Varieties of global networks
- Networks and multi-level governance
- Transnational activism in European and global civil society

**Required Reading:**


**Further Reading:**


**Related Websites:**

- *Global Policy: www.globalpolicyjournal.com*

**Topic 7 Policy Brief**

In the ‘Reading Week’ generated by the public holidays and closure of CEU, you will undertake work on project related to the EU and Global Governance.

12
Put yourself in the position of a senior researcher in a ‘Euro’ Think Tank (country of your choice) tasked with writing a policy brief on a current policy challenge facing the European Commission. In your brief, provide an evaluation of a specific field of public policy (eg. Trade, Higher Education, Immigration), and a set of substantiated recommendations.

The brief is to be no more than 1,500—1,700 words and represents 30% of your final grade.

A ‘policy brief’ is a short paper that outlines the nature of a contemporary policy problem or opportunity, and presents a brief background of the societal and institutional dynamics of this case. The brief should also outline the key criteria to be used in designing a policy solution.

The brief should include the following:
   a.) Identify and specify the causes behind a particular policy problem or governance task;
   b.) Identify the tools, procedures, mechanisms, sequencing, financial implications and/or other resourcing issues;
   c.) Signal and evaluate the prospects for, and barriers to, effective policy change.

For some background reading on how to write a policy brief, see the references below. However, please note that there is little consensus on the ‘correct’ style, length or tone of policy briefs. Feel free to use graphics and other design elements in the presentation of the policy paper.


Topic 7. De/Europeanisation and EU Deviations
   • Non-Compliance, Deviation and Policy Resistance in the New Member States
   • Adaptation, Bricolage and Innovation in the New Member States
   • European Policy Transfer versus International Policy Diffusion.

Required Reading:

Further Reading:


Dwyer, P. and Ellison, N., 2009. ‘We nicked stuff from all over the place’: policy transfer or muddling through? Policy & politics, 37 (3), 389-407.


Greskovits, Bela. (2015) The hollowing and backsliding of democracy in East Central Europe. Global Policy, 6(S1), 28-37


Numerous papers on the impact of EU accession on public administration are available on the SIGMA web-site: http://www.sigmaweb.org/about/

Topic 9. European Exit and European Identity
- BREXIT
- Secession: Instruments, Sequencing, Techniques
- The European Commission as an Actor, and Europeanisation as an Elite Project

As this is a fast moving area of analysis and opinion, we will collectively draw together some readings that are up-to-date. No seminar presentation this week; instead the discussion will be structured as a joint exercise. The objective is not to focus on the politics of the situation, but to find articles or commentary that deals with the governance ramifications of BREXIT and the mechanics of exit. A second but related concern for discussion is to ask whether or not Europeanisation as a project is a (partial) policy failure.

Required Reading:
https://governancejournal.net/2016/06/27/the-perils-of-technocraticism-will-environmentalists-learn-from-brexit/

Further Reading:


**Topic 10: Europe in a World of Regions:**
- Normative power Europe?
- Diffusion of EU regional model
- Policy bricolage and translation in Asia, Africa and Latin America

**Required Reading:**


**Further Reading:**
Allison, L. (2015). *The EU, ASEAN and Interregionalism: Regionalism Support and Norm Diffusion Between the EU and ASEAN*. Springer.


Parker, O. & Rosamond, B. 2013. 'Normative power Europe meets economic liberalism: Complicating cosmopolitanism inside/outside the EU'. *Cooperation and Conflict, 48*, 229-246.


**Topic 11. European External Action Service**

- The Grand Strategy
- The Role of EU Delegations
- Relations between EEAS, the Commission and member states

**Required Reading:**


**Further Reading:**


**Topic 12. European Science and Cultural Diplomacy**

- Horizon 2020
- Science in Diplomacy, Science for Diplomacy, and Diplomacy for Science
- Culture in contest and communication

**Required Reading:**


**Further Reading:**


Uwe Puetter

Part 2: Study reviews – European integration theory and research perspectives

Week 1: Studying of political integration – back to the roots!
Week 2: Study Review I (LP) – The community method and the centrality of regulatory politics
Week 3: Study Review I (DPs) – The community method and the centrality of regulatory politics
Week 4: Study Review II (LP) – Soft governance and policy coordination
Week 5: Study Review II (DPs) – Soft governance and policy coordination
Week 6: Study Review III (LP) – Understanding institutional change in the EU
Week 7: Study Review III (DPs) – Understanding institutional change in the EU
Week 8: Study Review IV (LP) – Law, institutionalisation and integration
Week 9: Study Review IV (DPs) – Law, institutionalisation and integration
Week 10: Study Review V (LP) – New intergovernmentalism and post-Maastricht integration
Week 11: Study Review V (LP) – New intergovernmentalism and post-Maastricht integration
Week 12: Concluding session: European integration theory and the future of the discipline
**Study review format**

1 lead presenter/ 2 discussants

The purpose of a Study Review is to substantially engage with a major research in EU studies which would typically be accessible as a research monograph (in exceptional cases a collection of articles). The research should have been influential in shaping our understanding of a particular issue in EU integration (governance, policy, integration process) and the way how we and others approach new research (theory, perspective, method).

The **lead presenter** prepares the reference study for discussion (20 min presentation) and also develops a set of comments on what in their view the study contributes to the field of European studies in general and more specifically to a particular sub-field or issue area. What contemporary issues in European integration could be studied best with the outlined research framework?

For each reference study two competing research approaches will be identified which are accessible in the format of a journal article or book chapter. Each **discussant** presents a short (!) summary one of these articles and develops argument on which aspects the approaches differ (10-15 min).

Please note that a Study Review is **composed of two course sessions**. The relevant dates are indicated in the course schedule below. The first session is always dedicated to the lead presentation and the required reading. Discussants present in the second session.
Week 1

**Studying of political integration – back to the roots!**

- chapter 1
- chapter 2

Week 2

**Study Review I (LP) – The community method and the centrality of regulatory politics**

*Reference study (required reading):*

- chapter 3, The community method
- chapter 7, Positive and negative integration
- chapter 10, The future of the Union

Access the full book via the CEU network:
http://www.oxfordscholarship.com/view/10.1093/0199274304.001.0001/acprof-9780199274307

Lead presenter:

Week 3

**Study Review I (DPs) – The community method and the centrality of regulatory politics**

*Our "discussants" (required reading):*


Discussant 1:


Discussant 2:
Week 4

**Study Review II (LP) – Soft governance and policy coordination**

*Reference study (required reading):*

- chapter 1
- chapter 6

Lead presenter:

---

Week 5

**Study Review II (DPs) – Soft governance and policy coordination**

*Our "discussants" (required reading):*


Discussant 1:


Discussant 2:
Week 6

Study Review III (LP) – Understanding institutional change in the EU

Reference study (required reading):


Lead presenter:

Week 7

Study Review III (DPs) – Understanding institutional change in the EU

Our "discussants" (required reading):


Discussant 1:


Discussant 2:
Week 8

**Study Review IV (LP) – Law, institutionalisation and integration**

*Reference study (required reading):*


Lead presenter:

Week 9

**Study Review IV (DPs) – Law, institutionalisation and integration**

*Our "discussants" (required reading):*


Discussant 1:


Access the chapter via the CEU network: http://www.oxfordscholarship.com/view/10.1093/019924796X.001.0001/acprof-9780199247967-chapter-2

Discussant 2:
Week 10

**Study Review V (LP) – New intergovernmentalism and post-Maastricht integration**

*Reference study (required reading):*


- chapter 1
- plus one sample case study chapter


Lead presenter:

---

Week 11

**Study Review V (DPs) – New intergovernmentalism and post-Maastricht integration**

*Our "discussants" (required reading):*


Discussant 1:


Discussant 2:

---

Week 12

**Concluding session: European integration theory and the future of the discipline**